# Trustworthy AI Systems

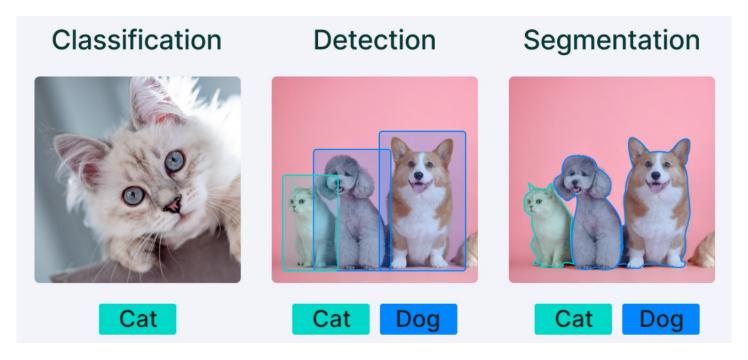
-- Image Classification

Instructor: Guangjing Wang

guangjingwang@usf.edu

# Application areas: Trustworthy Al Systems Vision Domain Audio Domain Text Domain Artificial Intelligence Machine Learning **Deep Learning** Al Agent Trustworthiness

# Classical Computer Vision Tasks

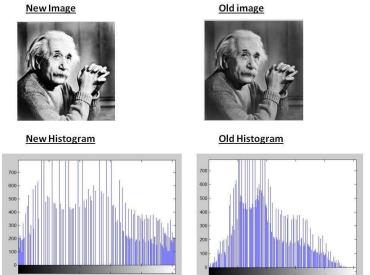


Localizing and labeling objects

Dividing images into regions

#### Traditional Computer Vision: Feature Engineering

- Feature Engineering: preprocesses raw data by transforming and selecting relevant features.
  - Histogram: the occurrences of each pixel intensity value. This is an important feature for object recognition.



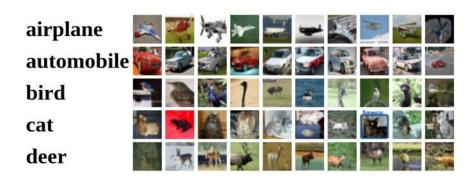
Example: Histogram

#### Data-driven Computer Vision

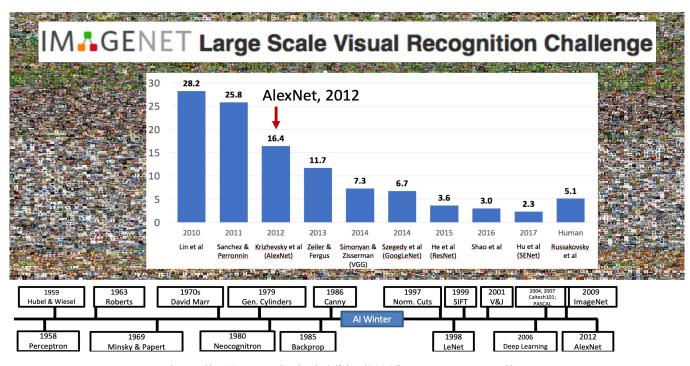
- 1. Collect a set of images and labels
- 2. Use deep learning algorithms to train a classifier or regression model
- 3. Evaluate the model on new images

```
def train(images, labels):
    # Machine learning!
    return model

def predict(model, test_images):
    # Use model to predict labels
    return test_labels
```



# Data-driven Computer Vision



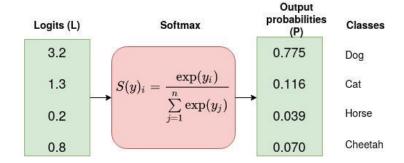
https://cs231n.stanford.edu/slides/2024/lecture\_1\_part\_1.pdf

## Deep Learning for Image Classification

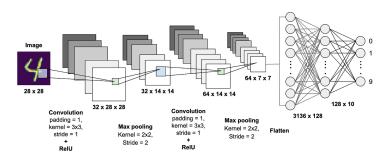


https://stock.adobe.com/search?k=panda

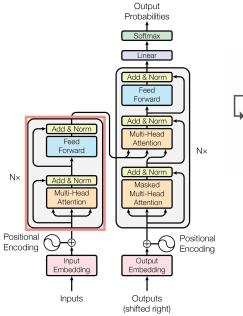
```
1 import torch
 2 import torch.nn as nn
   class Model4 1(nn.Module):
       def __init__(self):
           super(Model4_1, self).__init__()
           self.lin1 = nn.Linear(784, 100)
           self.relu = nn.ReLU()
 8
           self.lin2 = nn.Linear(100, 10)
9
10
       def forward(self, x):
11
           out = self.lin1(x)
12
           out = self.relu(out)
13
           out = self.lin2(out)
14
           return out
16 model4_1 = Model4_1()
```

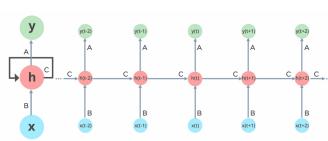


#### Deep Learning: A general term for various DNNs



https://becominghuman.ai/building-a-convolutional-neural-network-cnn-model-for-image-classification-116f77a7a236

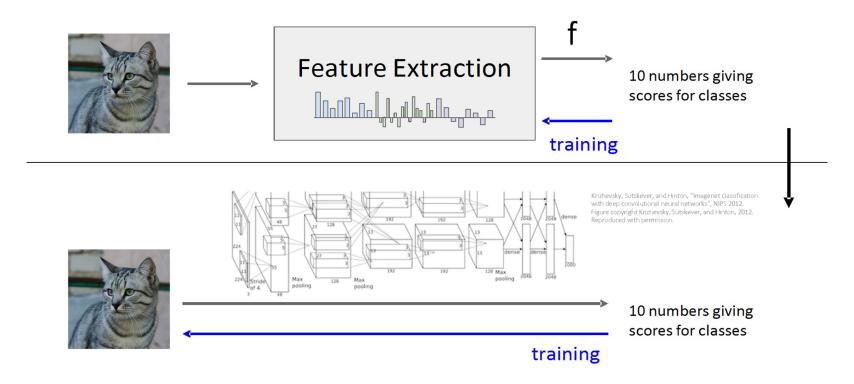




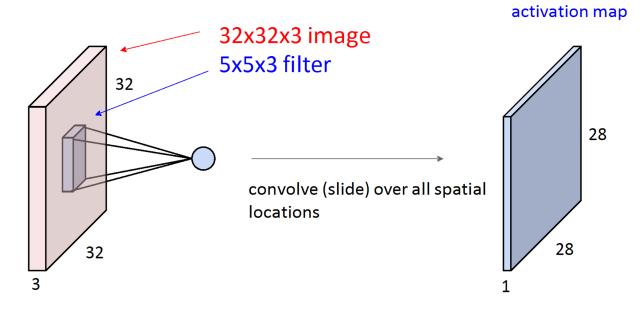
https://www.analyticsvidhya.com/blog/2022/03/a-briefoverview-of-**recurrent-neural-networks**-rnn/

https://machinelearningmastery.com/the-transformer-model/

# Why Deep Learning?



## Convolutional Layer



Filter: a small matrix of weights

https://hannibunny.github.io/mlbook/neuralnetworks/convolutionDemos.html

#### Convolutional Neural Network

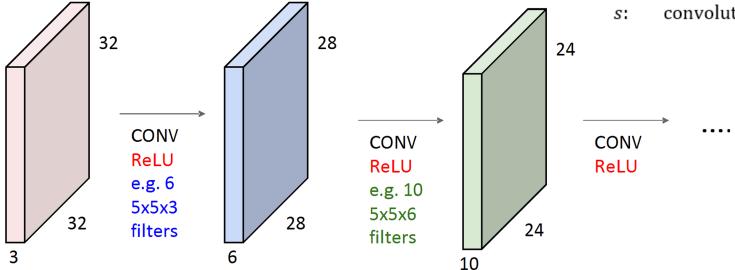
$$n_{out} = \left\lfloor \frac{n_{in} + 2p - k}{s} \right\rfloor + 1$$

 $n_{in}$ : number of input features  $n_{out}$ : number of output features

k: convolution kernel size

p: convolution padding size

s: convolution stride size



#### Conv Layer in PyTorch

#### Conv2d

CLASS torch.nn.Conv2d(in\_channels, out\_channels, kernel\_size, stride=1, padding=0, dilation=1, groups=1, bias=Txue, padding\_mode='zexos', device=None, dtype=None) [SOURCE]

Applies a 2D convolution over an input signal composed of several input planes.

In the simplest case, the output value of the layer with input size  $(N, C_{\rm in}, H, W)$  and output  $(N, C_{\rm out}, H_{\rm out}, W_{\rm out})$  can be precisely described as:

$$\operatorname{out}(N_i, C_{\operatorname{out}_j}) = \operatorname{bias}(C_{\operatorname{out}_j}) + \sum_{k=0}^{C_{\operatorname{in}}-1} \operatorname{weight}(C_{\operatorname{out}_j}, k) \star \operatorname{input}(N_i, k)$$

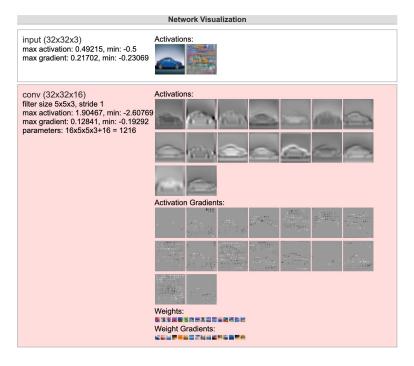
where  $\star$  is the valid 2D cross-correlation operator, N is a batch size, C denotes a number of channels, H is a height of input planes in pixels, and W is width in pixels.

This module supports TensorFloat32.

On certain ROCm devices, when using float16 inputs this module will use different precision for backward.

- stride controls the stride for the cross-correlation, a single number or a tuple.
- padding controls the amount of padding applied to the input. It can be either a string {'valid', 'same'} or an int / a
  tuple of ints giving the amount of implicit padding applied on both sides.
- dilation controls the spacing between the kernel points; also known as the u00e0 trous algorithm. It is harder to
  describe, but this link has a nice visualization of what dilation does.

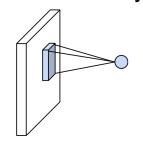
#### ConvNet JS Demo



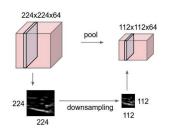
https://cs.stanford.edu/people/karpathy/convnetjs/demo/cifar10.html

## Summary: components of CNNs

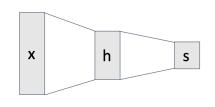
#### **Convolution Layers**



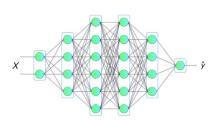
**Pooling Layers** 



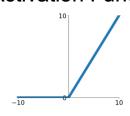
**Fully-Connected Layers** 



**DNN Example** 



**Activation Function** 



Normalization

$$\widehat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \varepsilon}}$$

Max Pooling Example







# Batch Normalization: normalizing inputs to each layer

Consider a single layer y = Wx

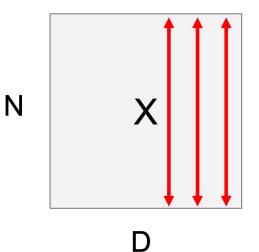
The following could lead to tough optimization:

- Inputs x are not centered around zero (need large bias)
- Inputs x have different scaling per-element (entries in W will need to vary a lot)

Idea: force inputs to be "nicely scaled" at each layer!

# Batch Normalization: normalizing inputs to each layer

Input:  $x: N \times D$ 



$$\mu_j = \frac{1}{N} \sum_{i=1}^N x_{i,j} \quad \text{Per-channel mean,} \\ \text{shape is D}$$

$$\sigma_j^2 = \frac{1}{N} \sum_{i=1}^N (x_{i,j} - \mu_j)^2 \quad \mbox{Per-channel var,} \\ \mbox{shape is D}$$

$$\hat{x}_{i,j} = rac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + arepsilon}}$$
 Normalized x, Shape is N x D

Problem: What if zero-mean, unit variance is too hard of a constraint?

# Batch Normalization: normalizing inputs to each layer

Input: 
$$x: N \times D$$

# Learnable scale and shift parameters:

$$\gamma, \beta: D$$

Learning  $\gamma = \sigma$ ,  $\beta = \mu$  will recover the identity function!

$$\mu_j = \frac{1}{N} \sum_{i=1}^N x_{i,j} \quad \text{Per-channel mean,} \\ \sigma_j^2 = \frac{1}{N} \sum_{i=1}^N (x_{i,j} - \mu_j)^2 \quad \text{Per-channel var,} \\ \hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \varepsilon}} \quad \text{Normalized x,} \\ y_{i,j} = \gamma_j \hat{x}_{i,j} + \beta_j \quad \text{Output,} \\ \text{Shape is N x D}$$

#### **Batch Normalization: Test Time**

Input:  $x: N \times D$ 

#### Learnable scale and shift parameters:

$$\gamma, \beta: D$$

During testing batchnorm becomes a linear operator! Can be fused with the previous fully-connected or conv layer

$$\mu_j = {}^{ ext{(Running)}} \, {}_{ ext{values seen during training}}$$

$$\sigma_j^2 = {}^{ ext{(Running)}} \, {}^{ ext{average of values seen during training}}$$

$$\hat{x}_{i,j} = rac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + arepsilon}}$$
 Normal Shape in

$$y_{i,j} = \gamma_j \hat{x}_{i,j} + \beta_j$$

Per-channel mean. shape is D

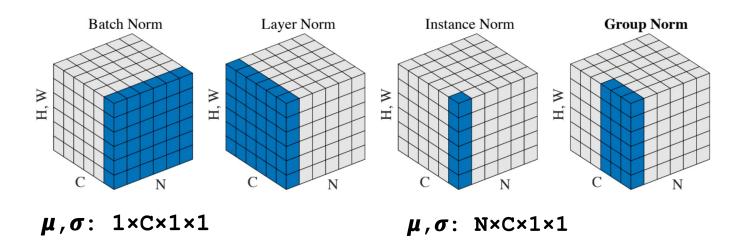
Per-channel var, shape is D

Normalized x, Shape is N x D

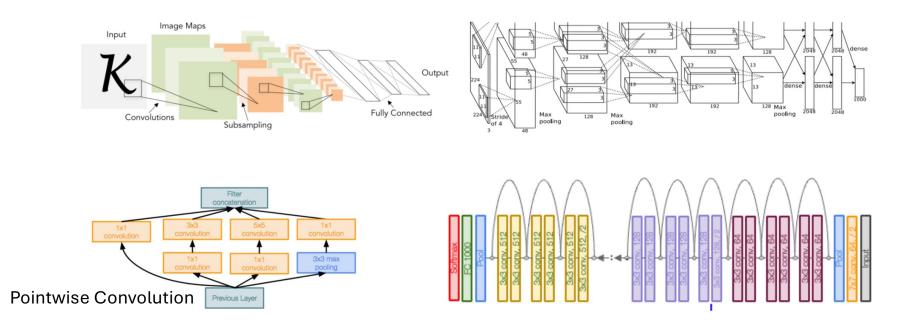
Output, Shape is N x D

#### Not homework... but read papers to learn

- Why using normalization?
- Other normalization techniques?
- N: batch size, C: channel size, H,W: height and width



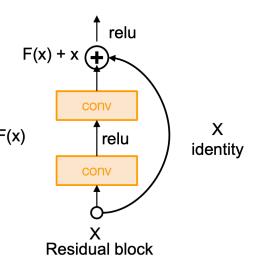
#### **CNN Architectures**

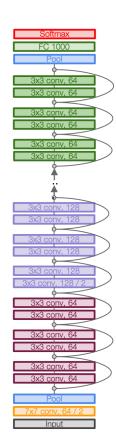


#### ResNet (1)

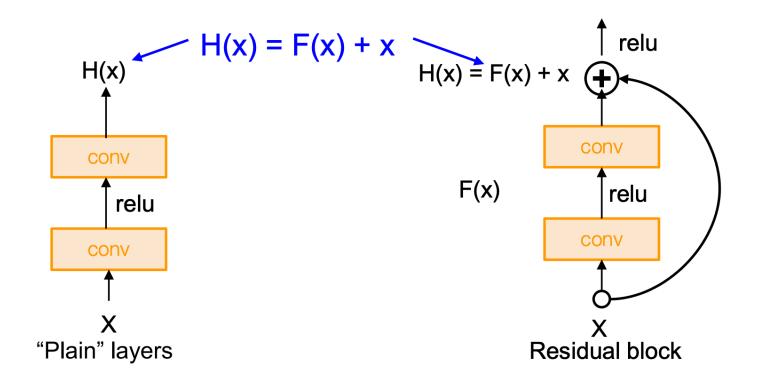
Very deep networks using residual connections:

- 152-layer model for ImageNet
- ILSVRC'15 classification winner F(x) (3.57% top 5 error) Swept all classification and detection competitions in ILSVRC'15 and COCO'15!



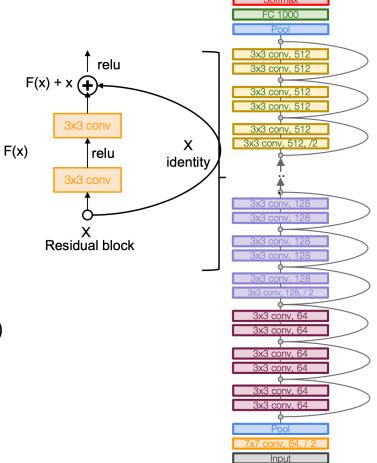


#### ResNet (2)



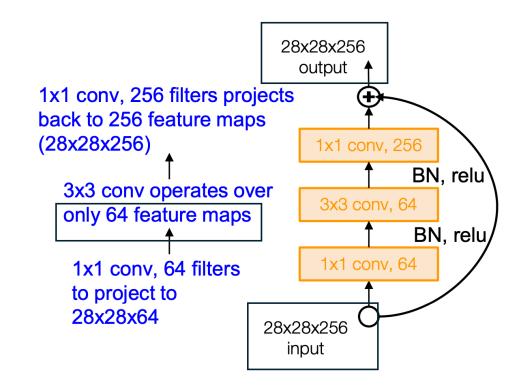
## ResNet (3)

- Total depths of 18, 34, 50, 101, or 152 layers for ImageNet
- Stack residual blocks
- Every residual block has two 3x3 conv layers
- Periodically, double number of filters and down sample spatially using stride 2 (/2 in each dimension)
- Additional conv layer at the beginning
- No FC layers at the end (only FC 1000 to output classes)

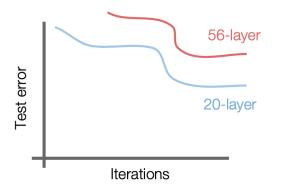


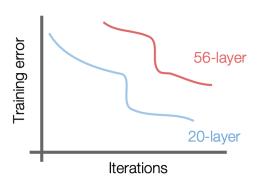
#### ResNet (4)

For deeper networks (ResNet-50+), use "bottleneck" layer to improve efficiency (similar to GoogLeNet)



#### Why ResNet





Problem: Deeper models are harder to optimize

Solution: Copying the learned layers from the shallower model and setting additional layers to identity mapping

#### **ResNet Practice**

#### Training ResNet in practice:

- Batch Normalization after every CONV layer
- Xavier initialization from He et al.
- Stochastic Gradient Descent (SGD) + Momentum (0.9)
- Learning rate: 0.1, divided by 10 when validation error plateaus
- Mini-batch size 256
- Weight decay of 1e-5
- No dropout used

#### Back to Classification: Challenges

#### Variations in the physical world

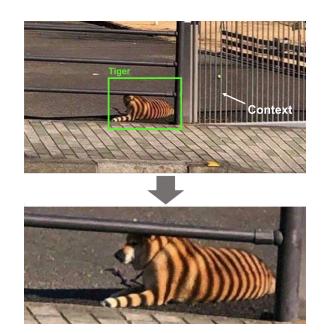
- Illumination
- Background Clutter
- Occlusion

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- Deformation
- Intraclass variation

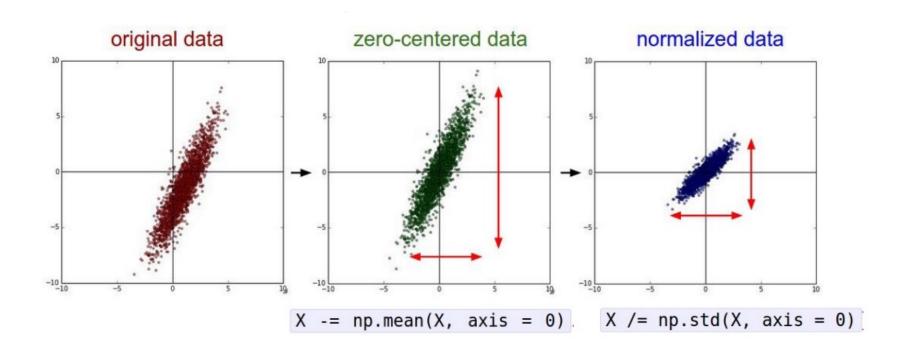


#### Challenges in Classification: Context



https://www.linkedin.com/posts/ralph-aboujaoude-diaz-40838313\_technology-artificialintelligence-computervision-activity-6912446088364875776-h-lq/?utm\_source=linkedin\_share&utm\_medium=member\_desktop\_web

# For your project: Data Preprocessing



#### For your project: Transfer Learning

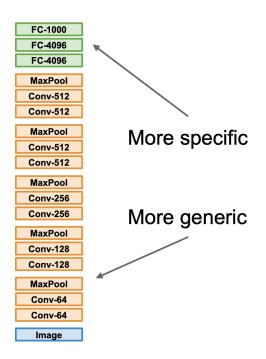
Have some dataset of interest but it has < ~1M images?

- Find a very large dataset that has similar data, train a big model there
- Transfer the learning to your dataset

Deep learning frameworks provide a "Model Zoo" of pretrained models so you don't need to train your own

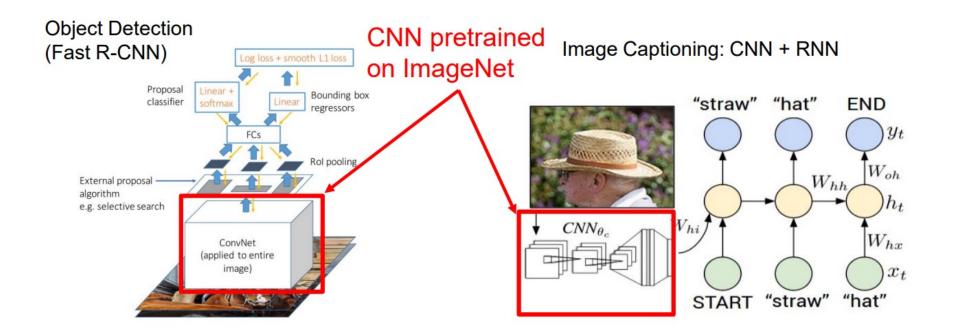
- https://github.com/tensorflow/models
- https://github.com/pytorch/vision

## For your project: Transfer Learning



	very similar dataset	very different dataset
very little data	Use Linear Classifier on top layer	You're in trouble Try linear classifier from different stages
quite a lot of data	Finetune a few layers	Finetune a larger number of layers or start from scratch!

# For your project: Transfer Learning

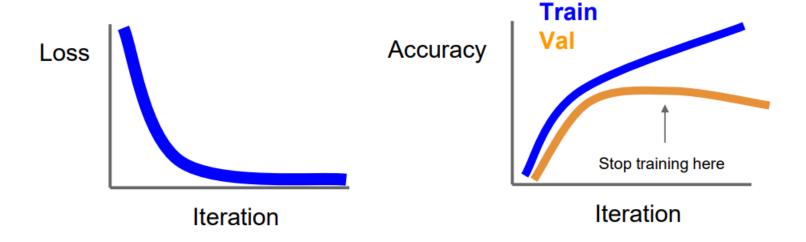


#### For your project: Some Practices

#### Consider CIFAR-10 example with [32,32,3] images:

- Data Preprocessing:
  - Subtract the mean image (e.g. AlexNet) (mean image = [32,32,3] array)
  - Subtract per-channel mean (e.g. VGGNet) (mean along each channel = 3 numbers)
  - Subtract per-channel mean and Divide by per-channel std (e.g. ResNet and beyond) (mean along each channel = 3 numbers)
- Weight Initialization: Kaiming / MSRA Initialization
- Use ReLU. Be careful with your learning rates
- Try out Leaky ReLU / PReLU / GELU (Check them out by yourself)

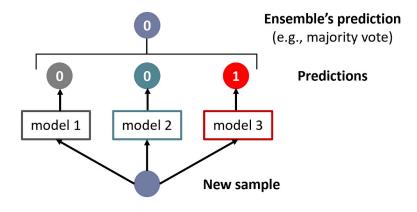
## For your project: Early Stopping



Stop training the model when accuracy on the validation set decreases. Train for a long time, but always keep track of the model snapshot that worked best on val.

#### For your project: Model Ensembles

- Train multiple independent models
- At test time average their results



https://pub.towardsai.net/introduction-to-ensemble-methods-226a5a421687

## For your project: Regularization (1)

Add a term to a loss:

$$L=rac{1}{N}\sum_{i=1}^{N}\sum_{j
eq y_i}\max(0,f(x_i;W)_j-f(x_i;W)_{y_i}+1)+ \overline{\lambda R(W)}$$

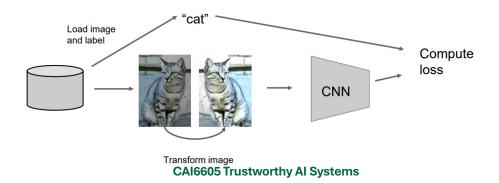
#### In common use:

L2 regularization  $R(W) = \sum_k \sum_l W_{k,l}^2$  (Weight decay) L1 regularization  $R(W) = \sum_k \sum_l |W_{k,l}|$  Elastic net (L1 + L2)  $R(W) = \sum_k \sum_l \beta W_{k,l}^2 + |W_{k,l}|$ 

Random Dropout, 0.5 is common

# For your project: Regularization (2)

- Data Augmentation
  - Horizontal Flips
  - Random crops and scales
  - Color Jitter
  - Rotation
  - Shearing
  - ....



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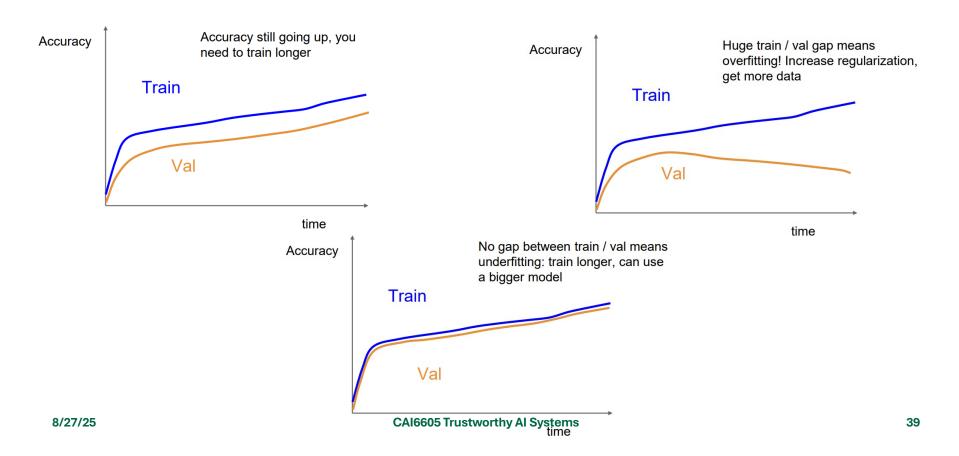
## For your project: Regularization (3)

- Training: the core idea is to add random noise
  - Dropout: Consider dropout for large fully connected layers
  - Batch Normalization
  - Data Augmentation
  - Cutout / Random Crop : Try cutout especially for small classification datasets
- Testing: Marginalize over the noise





## For your project: Look at the Learning Curve



#### Reference: Stanford Spring 2024 cs231n

- https://cs231n.stanford.edu/schedule.html
- https://cs231n.stanford.edu/slides/2024/lecture\_5.pdf
- https://cs231n.stanford.edu/slides/2024/lecture\_6\_part\_1.pdf
- https://cs231n.stanford.edu/slides/2024/lecture\_6\_part\_2.pdf