# **Trustworthy AI Systems**

-- Generative Modeling (Part I)

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#### Group Member Checkpoint

- For the course projects, two to three students will form a group, choose an AI application topic/task, and complete both midterm and final projects.
- The deadline is Jan. 27<sup>th</sup>, 11:59 pm
- If you cannot find your teammates, we will help randomly assign a group, but you will get 0 grade for this checkpoint.

#### **Project Examples from Last Semester**

- Research-oriented
  - Voice conversion and reversing the converted voice
  - Machine unlearning
  - Enhancing low-light image processing with Retinex-based algorithm
- Engineering-oriented
  - Attendance Record Based on Face Identification
  - LLM Agent for Food Classification
  - Al-assisted symptom analysis for healthcare diagnostics
  - Signature forgery detection using deep learning models

#### Last Lecture

Semantic Segmentation

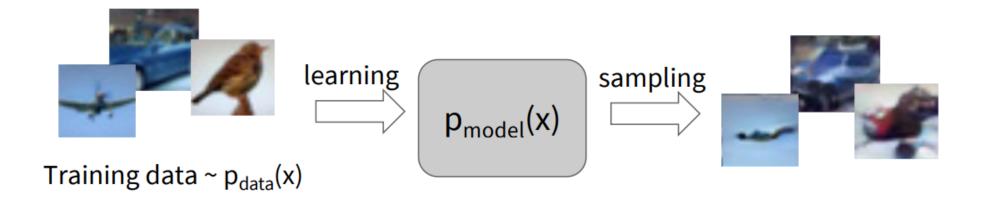
- Object Detection
  - R-CNN series
  - YOLO series

#### This Lecture

- Generative Modeling
- Generative Adversarial Network (GAN)
  - DCGAN
  - Conditional GAN
  - CycleGAN
- Neural Style Transfer

## **Generative Modeling**

Given training data, generate new samples from same distribution



#### **Objectives:**

- 1. Learn  $p_{model}(x)$  that approximates  $p_{data}(x)$
- 2. Sampling new x from  $p_{model}(x)$

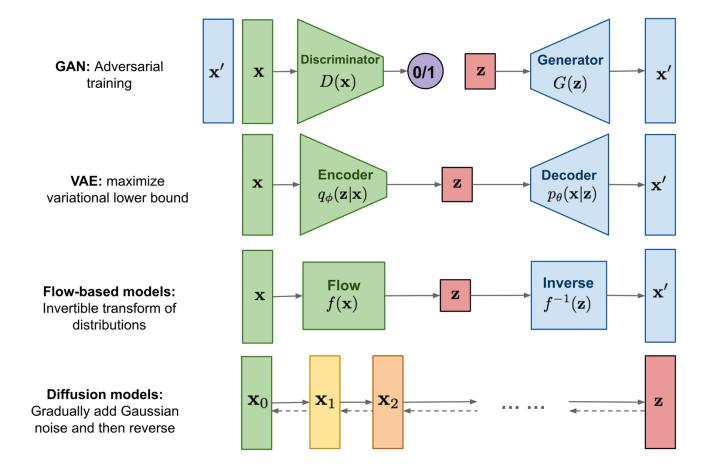
#### Learn Data Distributions

- Minimizing certain divergence metrics between the training data distribution, and the distribution that the model learns.
- Training models that maximize the expected log likelihood of  $p_{\theta}(x)$ 
  - If I sample from the distribution and get a
    - high likelihood → likely the sample came from the training distribution
    - low likelihood → the sample probably did not come from the training distribution

# Why Generative Modeling?

- Realistic samples for artwork, super-resolution, colorization, etc.
- Learn useful features for downstream tasks such as classification.
- Getting insights from high-dimensional data (physics, medical imaging, etc.)
- Modeling physical world for simulation and planning (robotics and reinforcement learning applications)
- Many more ...

#### Overview of different types of generative models

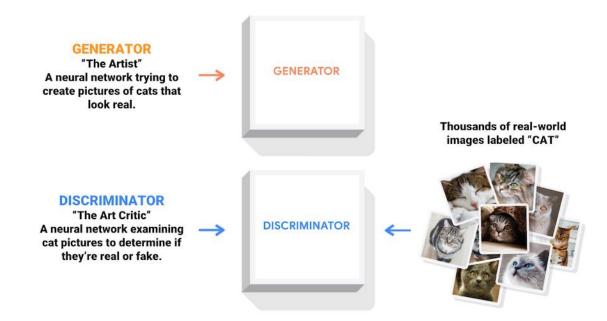


Source: https://lilianweng.github.io/posts/2021-07-11-diffusion-models/

#### CIS6930 Trustworthy AI Systems

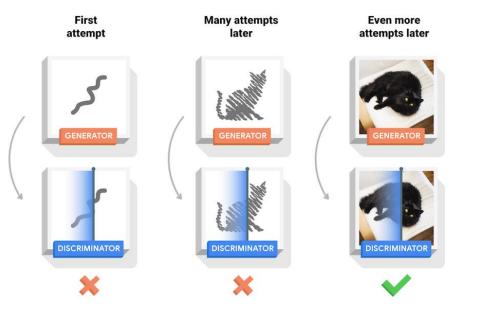
#### Generative Adversarial Network (GAN)

- Two models are trained simultaneously by an adversarial process.
  - A generator ("the artist") learns to create images that look real
  - A discriminator ("the art critic") learns to tell real images apart from fakes.



### The idea of GAN

- During training, the generator progressively becomes better at creating images that look real, while the discriminator becomes better at telling them apart.
- The process reaches equilibrium when the *discriminator* can no longer distinguish real images from fakes.



 Generator: Upsampling layers to produce an image from a seed (random noise)

```
def make_generator_model():
   model = tf.keras.Sequential()
   model.add(layers.Dense(7*7*256, use_bias=False, input_shape=(100,)))
   model.add(layers.BatchNormalization())
   model.add(layers.LeakyReLU())
   model.add(layers.Reshape((7, 7, 256)))
   assert model.output_shape == (None, 7, 7, 256) # Note: None is the batch size
   model.add(layers.Conv2DTranspose(128, (5, 5), strides=(1, 1), padding='same', use_bias=False))
   assert model.output_shape == (None, 7, 7, 128)
   model.add(layers.BatchNormalization())
   model.add(layers.LeakyReLU())
   model.add(layers.Conv2DTranspose(64, (5, 5), strides=(2, 2), padding='same', use_bias=False))
   assert model.output_shape == (None, 14, 14, 64)
   model.add(layers.BatchNormalization())
   model.add(layers.LeakyReLU())
   model.add(layers.Conv2DTranspose(1, (5, 5), strides=(2, 2), padding='same', use_bias=False, activation=
   assert model.output_shape == (None, 28, 28, 1)
   return model
```

• Discriminator: a classifier

- Loss function: optimization goal
  - Discriminator loss: how well the discriminator can distinguish real images from fakes
  - Generator loss: how well it was able to trick the discriminator

```
def discriminator_loss(real_output, fake_output):
    real_loss = cross_entropy(tf.ones_like(real_output), real_output)
    fake_loss = cross_entropy(tf.zeros_like(fake_output), fake_output)
    total_loss = real_loss + fake_loss
    return total_loss
def generator_loss(fake_output):
```

return cross\_entropy(tf.ones\_like(fake\_output), fake\_output)

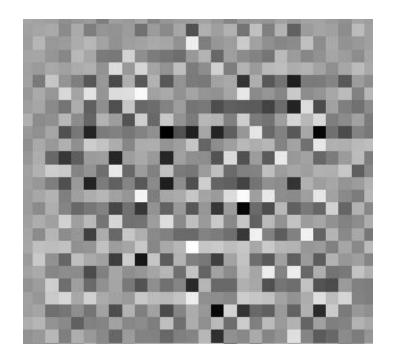
Architecture guidelines for stable Deep Convolutional GANs

- Replace any pooling layers with strided convolutions (discriminator) and fractional-strided convolutions (generator).
- Use batchnorm in both the generator and the discriminator.
- Remove fully connected hidden layers for deeper architectures.
- Use ReLU activation in generator for all layers except for the output, which uses Tanh.
- Use LeakyReLU activation in the discriminator for all layers.

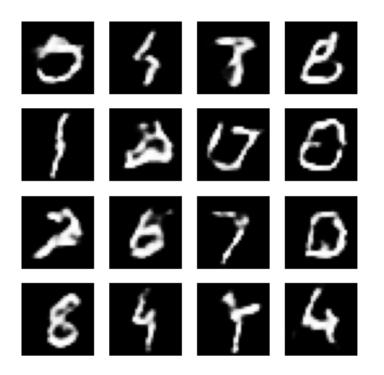
#### The GAN Zoo: <u>https://github.com/hindupuravinash/the-gan-zoo</u> Tricks to make GAN better: <u>https://github.com/soumith/ganhacks</u>

Radford et al, "Unsupervised Representation Learning with Deep Convolutional Generative Adversarial Networks", ICLR 2016

## Effect of DCGAN



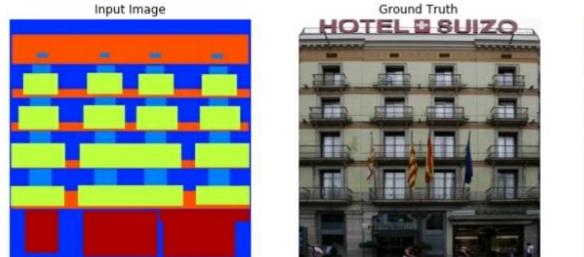
Start from: Random Noise



Synthesized Image

## Conditional GAN (cGAN)

- Learns a mapping from input images to output images
- cGAN: Condition on input images and generate corresponding output images



Predicted Image



Image-to-Image Translation with Conditional Adversarial Networks (CVPR 2017)

#### Applications of cGAN

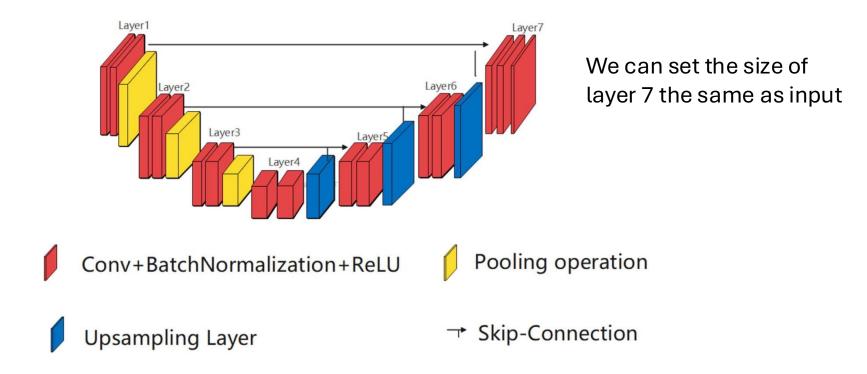
- Synthesizing photos from label maps
- Generating colorized photos from black and white images
- Turning Google Maps photos into aerial images
- Transforming sketches into photos...



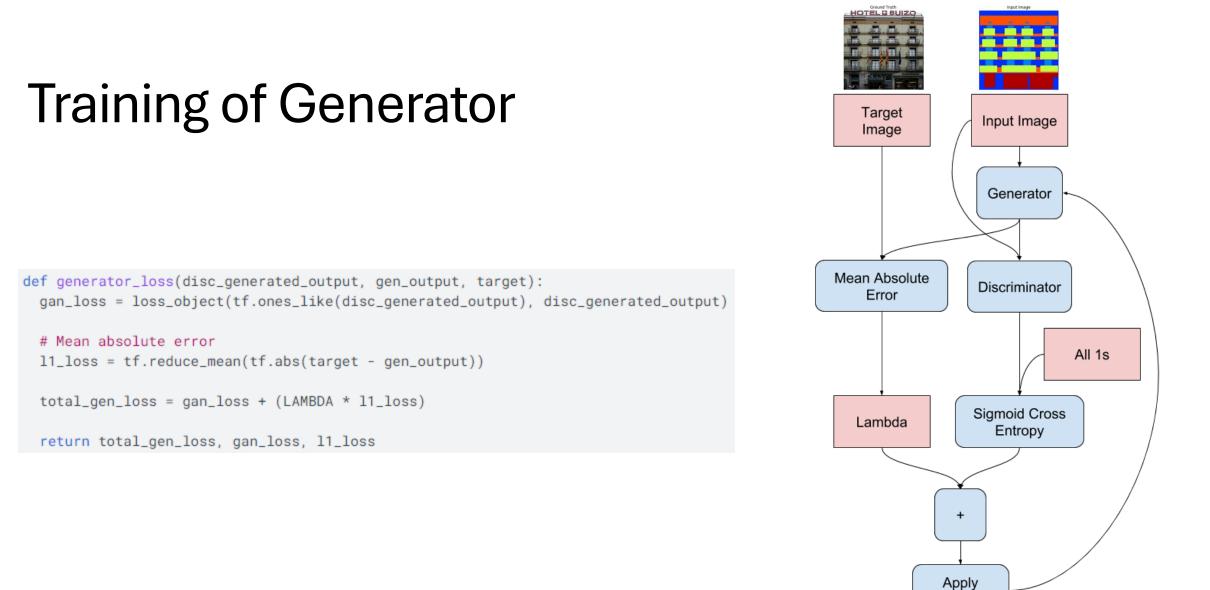


## Conditional GAN (cGAN)

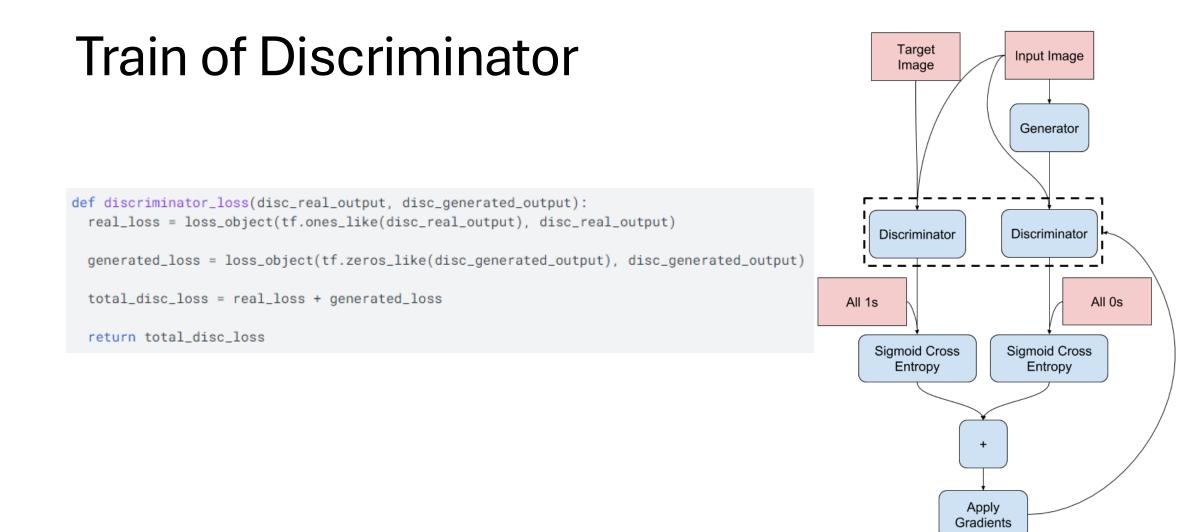
• Generator (UNet): an encoder (downsampler) and decoder (upsampler)



https://www.frontiersin.org/journals/aging-neuroscience/articles/10.3389/fnagi.2022.841297/full



Gradients



#### Discriminator in cGAN

- Discriminator: a convolutional PatchGAN classifier—it tries to classify if each image patch is real or fake.
- The input image and the target image, which it should classify as real.
- The input image and the generated image (the output of the generator), which it should classify as fake.

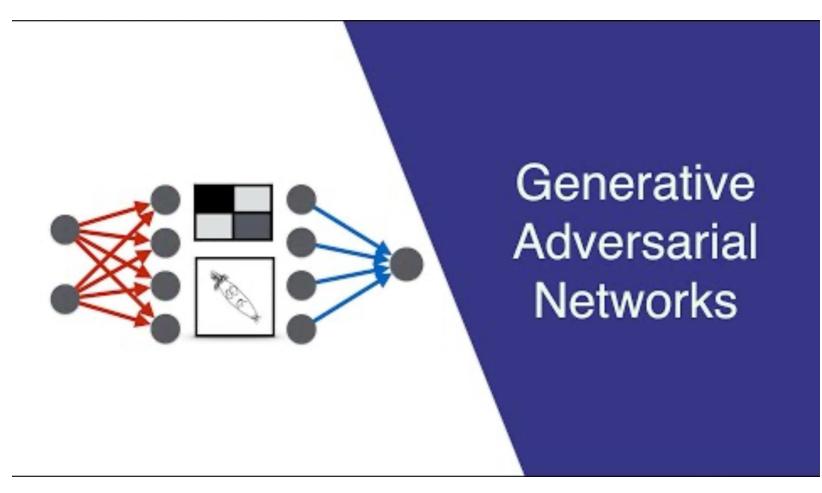
#### Effect of cGAN (Pixel2Pixel)

- Pass images from the test set to the generator.
- The generator will then translate the input image into the output.



https://www.tensorflow.org/tutorials/generative/pix2pix

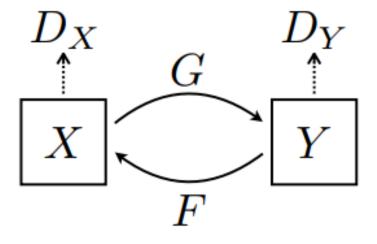
#### Take a Break



https://www.youtube.com/watch?v=8L11aMN5KY8

#### CycleGAN

There are 2 generators (G and F) and 2 discriminators (X and Y) being trained here.

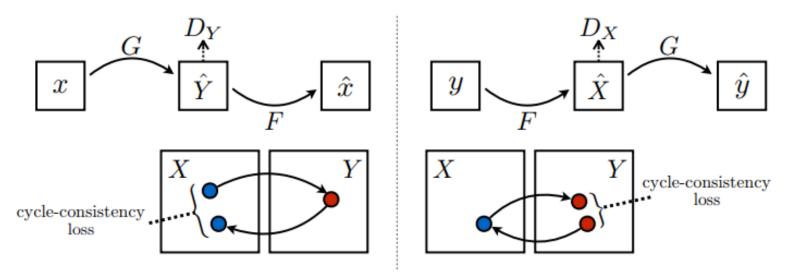


#### CycleGAN

- Pixel2Pixel needs paired training data.
- CycleGAN: unpaired training data.
- CycleGAN uses <u>instance normalization</u> instead of <u>batch</u> <u>normalization</u>.
- The <u>CycleGAN paper</u> uses a modified Resnet based Generator

#### Loss Function in CycleGAN

- There is no pair data to train on, so cycle consistency loss is designed to enforce the network to learn meaningful mapping.
- Cycle consistency means the result should be close to the original input.



#### Neural Style Transfer

- A content image and a style reference image (such as an artwork by a famous painter)
- Blend them together so the output image looks like the content image, but "painted" in the style of the style reference image.



Content Image



Style Image

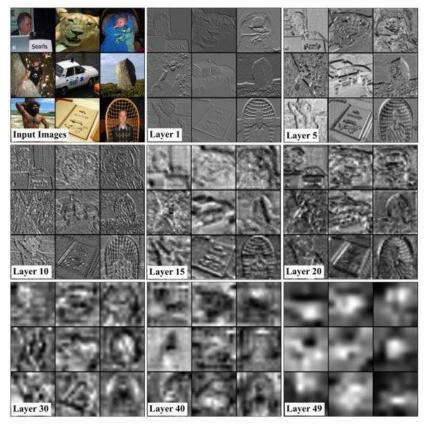


Synthesized Image

#### **Content and Style Representations**

• Use the intermediate layers of the model to get the *content* and *style* repr esentations of the image.

• From edges, corners, textures to high-level concepts.

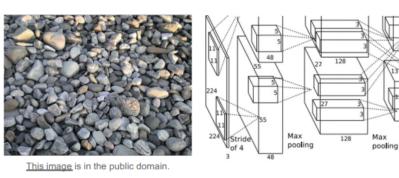


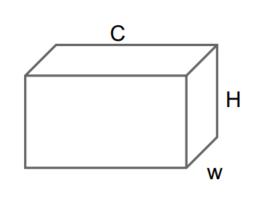
https://www.researchgate.net/figure/Visualization-of-examplefeatures-of-layers-1-10-20-30-40-and-49-of-a-deep\_fig1\_319622441

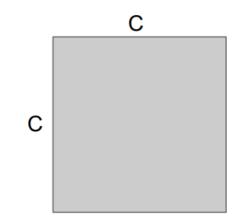
#### **Content and Style Representations**

- The content of an image is represented by the values of the intermediate feature maps.
- The style of an image can be described by the means and correlations across the different feature maps.

#### Style Representation: Gram Matrix







Each layer of CNN gives C x H x W tensor of features; H x W grid of C-dimensional vectors

Outer product of two C-dimensional vectors gives C x C matrix measuring co-occurrence

Average over all HW pairs of vectors, giving **Gram matrix** of shape C x C

Efficient to compute; reshape features from

 $C \times H \times W$  to  $=C \times HW$ 

then compute  $G = FF^{T}$ 

Gram matrix: Ignore the positions of features and get correlations among features.

#### Style Representation: Gram Matrix

The Gram matrix takes the outer product of the feature vector with itself at each location and averaging that outer product over all locations.

$$G_{cd}^l = rac{\sum_{ij}F_{ijc}^l(x)F_{ijd}^l(x)}{IJ}$$

def gram\_matrix(input\_tensor):
 result = tf.linalg.einsum('bijc,bijd->bcd', input\_tensor, input\_tensor)
 input\_shape = tf.shape(input\_tensor)
 num\_locations = tf.cast(input\_shape[1]\*input\_shape[2], tf.float32)
 return result/(num\_locations)

#### **Tensorflow Implementation**

```
def gram_matrix(input):
    a, b, c, d = input.size() # a=batch size(=1)
    # b=number of feature maps
    # (c,d)=dimensions of a f. map (N=c*d)
```

features = input.view(a \* b, c \* d) # resize F\_XL into \hat F\_XL

G = torch.mm(features, features.t()) # compute the gram product

# we 'normalize' the values of the gram matrix
# by dividing by the number of element in each feature maps.
return G.div(a \* b \* c \* d)

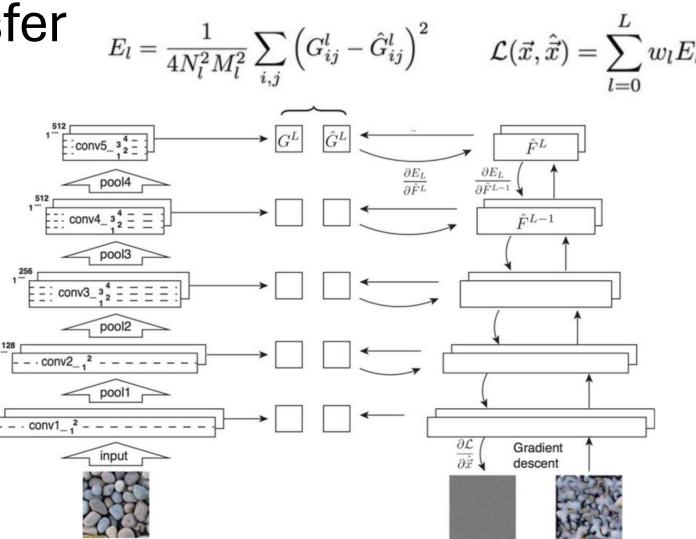
#### Pytorch Implementation

#### Neural Style Transfer

- 1. Pretrain a CNN on ImageNet (VGG-19)
- Run input texture forward through CNN, record activations on every layer; layer i gives feature map of shape C<sub>i</sub> × H<sub>i</sub> × W<sub>i</sub>
- 3. At each layer compute the *Gram matrix* giving outer product of features:

$$G_{ij}^l = \sum_k F_{ik}^l F_{jk}^l$$
 (shape C<sub>i</sub> × C<sub>i</sub>)

- 4. Initialize generated image from random noise
- 5. Pass generated image through CNN, compute Gram matrix on each layer
- 6. Compute loss: weighted sum of L2 distance between Gram matrices
- 7. Backprop to get gradient on image
- 8. Make gradient step on image
- 9. GOTO 5



1 ....

### Learning Objective: MSE loss

```
@tf.function()
def train_step(image):
    with tf.GradientTape() as tape:
        outputs = extractor(image)
        loss = style_content_loss(outputs)
```

```
grad = tape.gradient(loss, image)
opt.apply_gradients([(grad, image)])
image.assign(clip_0_1(image))
```

#### Gradient computation in TensorFlow

# We want to optimize the input and not the model parameters so we # update all the requires\_grad fields accordingly

#### input\_img.requires\_grad\_(True)

# We also put the model in evaluation mode, so that specific layers # such as dropout or batch normalization layers behave correctly. model.eval()

```
model.requires_grad_(False)
```

optimizer = get\_input\_optimizer(input\_img)

```
Gradient computation in PyTorch
```

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#### Neural Style Transfer



#### References

- <u>https://cs231n.stanford.edu/slides/2024/lecture\_11.pdf</u>
- <u>https://www.tensorflow.org/tutorials/generative/style\_transfer</u>
- <u>https://pytorch.org/tutorials/advanced/neural\_style\_tutorial.html</u>
- <u>https://www.tensorflow.org/tutorials/generative/dcgan</u>
- <u>https://www.tensorflow.org/tutorials/generative/pix2pix</u>
- <u>https://www.tensorflow.org/tutorials/generative/cyclegan</u>