# **Trustworthy AI Systems**

-- Generative Modeling (Part I)

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#### Last Lecture

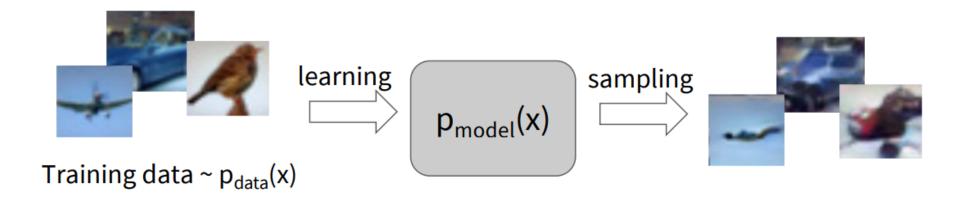
- Semantic Segmentation
- Object Detection
  - R-CNN series
  - YOLO series
- Instance Segmentation

# This Lecture

- Generative Modeling
- Generative Adversarial Network
  - DCGAN
  - Conditional GAN
  - CycleGAN
- Neural Style Transfer

# **Generative Modeling**

Given training data, generate new samples from same distribution



#### **Objectives:**

- 1. Learn  $p_{model}(x)$  that approximates  $p_{data}(x)$
- 2. Sampling new x from  $p_{model}(x)$

#### Learn Data Distributions

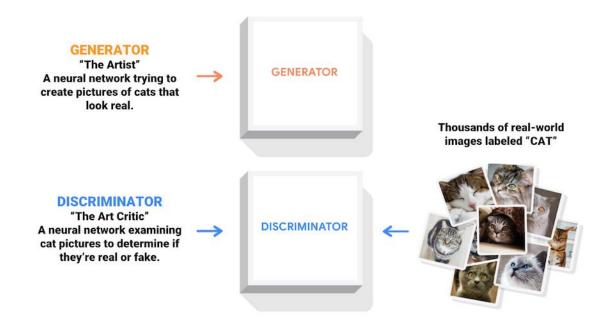
- Minimizing some divergence metrics between the training data distribution, and the distribution that the model learns.
- Training models that maximize the expected log likelihood of  $p_{\theta}(x)$ If I sample from the distribution and get a
  - high likelihood → likely the sample came from the training distribution
  - low likelihood → the sample probably didn't come from the training distribution

# Why Generative Modeling?

- Realistic samples for artwork, super-resolution, colorization, etc.
- Learn useful features for downstream tasks such as classification.
- Getting insights from high-dimensional data (physics, medical imaging, etc.)
- Modeling physical world for simulation and planning (robotics and reinforcement learning applications)
- Many more ...

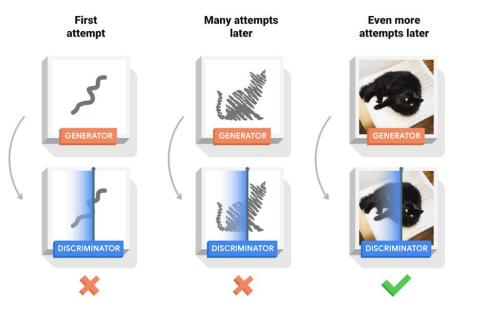
## Generative Adversarial Network (GAN)

- Two models are trained simultaneously by an adversarial process.
  - A generator ("the artist") learns to create images that look real
  - A discriminator ("the art critic") learns to tell real images apart from fakes.



# The idea of GAN

- During training, the generator progressively becomes better at creating images that look real, while the discriminator becomes better at telling them apart.
- The process reaches equilibrium when the *discriminator* can no longer distinguish real images from fakes.



Generator: Upsampling layers (???) to produce an image from a seed (random noise)

```
def make_generator_model():
   model = tf.keras.Sequential()
   model.add(layers.Dense(7*7*256, use_bias=False, input_shape=(100,)))
   model.add(layers.BatchNormalization())
   model.add(layers.LeakyReLU())
   model.add(layers.Reshape((7, 7, 256)))
   assert model.output_shape == (None, 7, 7, 256) # Note: None is the batch size
   model.add(layers.Conv2DTranspose(128, (5, 5), strides=(1, 1), padding='same', use_bias=False))
   assert model.output_shape == (None, 7, 7, 128)
   model.add(layers.BatchNormalization())
   model.add(layers.LeakyReLU())
   model.add(layers.Conv2DTranspose(64, (5, 5), strides=(2, 2), padding='same', use_bias=False))
   assert model.output_shape == (None, 14, 14, 64)
   model.add(layers.BatchNormalization())
   model.add(layers.LeakyReLU())
   model.add(layers.Conv2DTranspose(1, (5, 5), strides=(2, 2), padding='same', use_bias=False, activation=
   assert model.output_shape == (None, 28, 28, 1)
   return model
```

• Discriminator: a classifier

- Loss function: optimization goal
  - Discriminator loss: how well the discriminator is able to distinguish real images from fakes
  - Generator loss: how well it was able to trick the discriminator

```
def discriminator_loss(real_output, fake_output):
    real_loss = cross_entropy(tf.ones_like(real_output), real_output)
    fake_loss = cross_entropy(tf.zeros_like(fake_output), fake_output)
    total_loss = real_loss + fake_loss
    return total_loss
def generator_loss(fake_output):
    return cross_entropy(tf.ones_like(fake_output), fake_output)
```

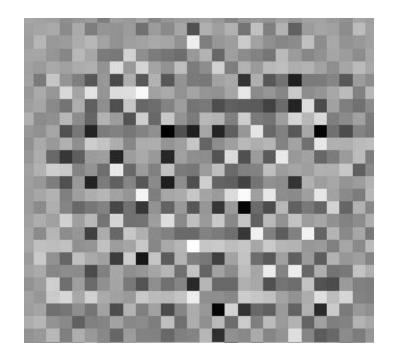
Architecture guidelines for stable Deep Convolutional GANs

- Replace any pooling layers with strided convolutions (discriminator) and fractional-strided convolutions (generator).
- Use batchnorm in both the generator and the discriminator.
- Remove fully connected hidden layers for deeper architectures.
- Use ReLU activation in generator for all layers except for the output, which uses Tanh.
- Use LeakyReLU activation in the discriminator for all layers.

#### The GAN Zoo: <u>https://github.com/hindupuravinash/the-gan-zoo</u> Tricks to make GAN better: <u>https://github.com/soumith/ganhacks</u>

Radford et al, "Unsupervised Representation Learning with Deep Convolutional Generative Adversarial Networks", ICLR 2016

# Effect of DCGAN



0378 1020 2570 8474

Start from: Random Noise

Synthesized Image

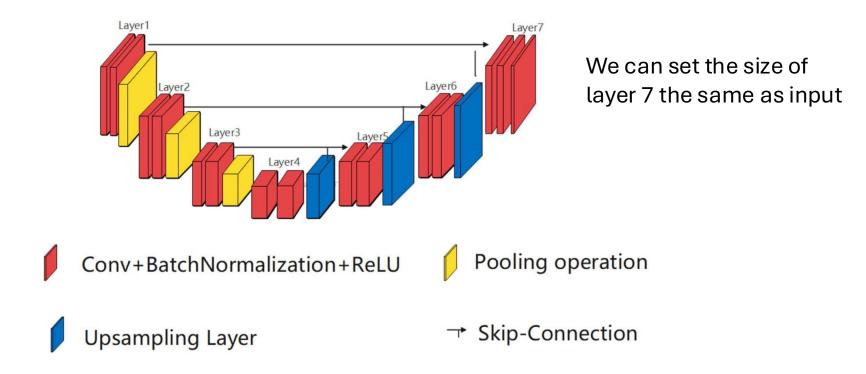
# Conditional GAN (cGAN)

- Pix2pix: Learns a mapping from input images to output images
- cGAN: Condition on input images and generate corresponding output images

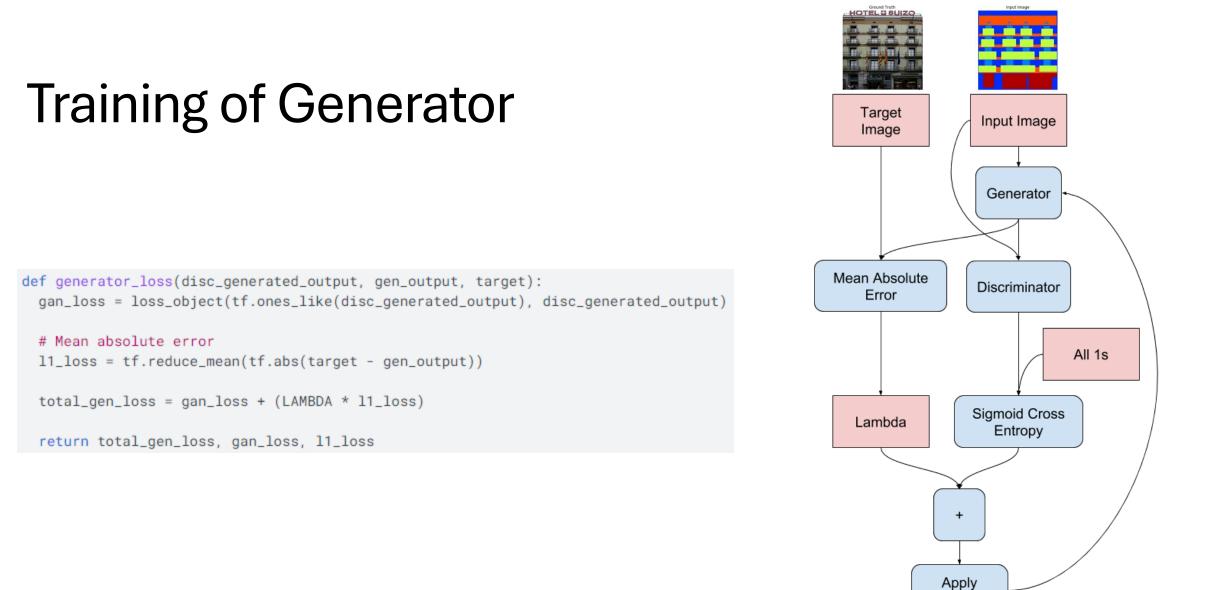


# Conditional GAN (cGAN)

• Generator (UNet): an encoder (downsampler) and decoder (upsampler)



https://www.frontiersin.org/journals/aging-neuroscience/articles/10.3389/fnagi.2022.841297/full



9/09/24

Gradients

## Discriminator in cGAN

- Discriminator: a convolutional PatchGAN classifier—it tries to classify if each image *patch* is real or fake.
- The input image and the target image, which it should classify as real.
- The input image and the generated image (the output of the generator), which it should classify as fake.

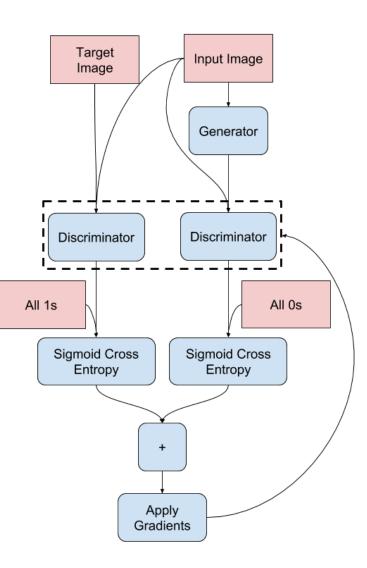
# Train of Discriminator

def discriminator\_loss(disc\_real\_output, disc\_generated\_output):
 real\_loss = loss\_object(tf.ones\_like(disc\_real\_output), disc\_real\_output)

generated\_loss = loss\_object(tf.zeros\_like(disc\_generated\_output), disc\_generated\_output)

total\_disc\_loss = real\_loss + generated\_loss

return total\_disc\_loss



# Effect of cGAN (Pixel2Pixel)

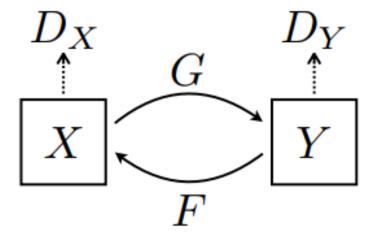
- Pass images from the test set to the generator.
- The generator will then translate the input image into the output.



https://www.tensorflow.org/tutorials/generative/pix2pix

#### CycleGAN

There are 2 generators (G and F) and 2 discriminators (X and Y) being trained here.

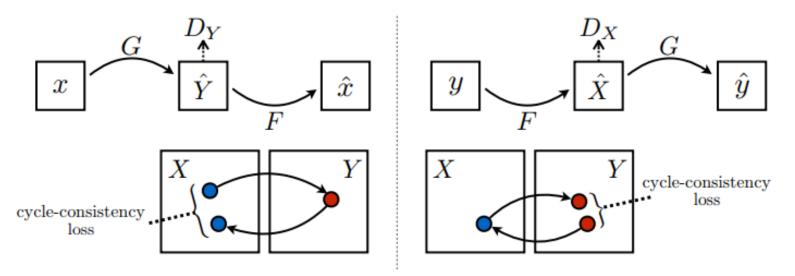


## CycleGAN

- Pixel2Pixel needs paired training data.
- CycleGAN: unpaired training data.
- CycleGAN uses <u>instance normalization</u> instead of <u>batch</u> <u>normalization</u>.
- The <u>CycleGAN paper</u> uses a modified Resnet based Generator

### Loss Function in CycleGAN

- There is no pair data to train on, so cycle consistency loss is designed to enforce the network to learn meaningful mapping.
- Cycle consistency means the result should be close to the original input.



#### **Feature Inversion**

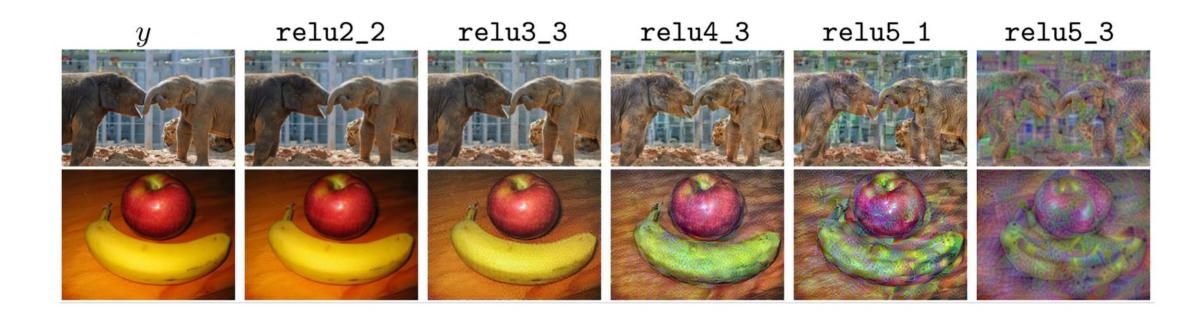
Given a CNN feature vector for an image, find a new image that:

- Matches the given feature vector
- "looks natural" (image prior regularization)

$$\mathbf{x}^{*} = \underset{\mathbf{x} \in \mathbb{R}^{H \times W \times C}}{\operatorname{argmin}} \ell(\Phi(\mathbf{x}), \Phi_{0}) + \lambda \mathcal{R}(\mathbf{x})} \xrightarrow{\text{Given feature vector}} \\ \ell(\Phi(\mathbf{x}), \Phi_{0}) = \|\Phi(\mathbf{x}) - \Phi_{0}\|^{2} \\ \mathcal{R}_{V^{\beta}}(\mathbf{x}) = \sum_{i,j} \left( (x_{i,j+1} - x_{ij})^{2} + (x_{i+1,j} - x_{ij})^{2} \right)^{\frac{\beta}{2}} \xrightarrow{\text{Total Variation regularizer}} \\ \text{Total Variation regularizer} \\ (\text{encourages spatial smoothness})$$

#### Feature Inversion

#### Potential privacy issues in deep learning



### Neural Style Transfer

- A content image and a style reference image (such as an artwork by a famous painter)
- Blend them together so the output image looks like the content image, but "painted" in the style of the style reference image.



Content Image



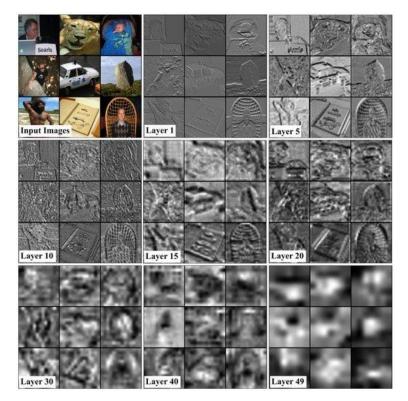
Style Image



Synthesized Image

## **Content and Style Representations**

• Use the intermediate layers of the model to get the *content* and *style* representations of the image.



https://www.researchgate.net/figure/Visualization-of-examplefeatures-of-layers-1-10-20-30-40-and-49-of-a-deep\_fig1\_319622441

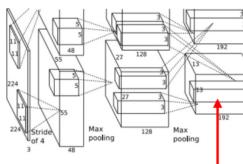
## **Content and Style Representations**

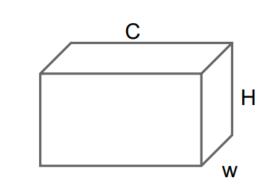
- The content of an image is represented by the values of the intermediate feature maps.
- The style of an image can be described by the means and correlations across the different feature maps.

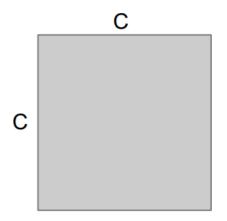
#### Style Representation: Gram Matrix



This image is in the public domain.







Each layer of CNN gives C x H x W tensor of features; H x W grid of C-dimensional vectors

Outer product of two C-dimensional vectors gives C x C matrix measuring co-occurrence

Average over all HW pairs of vectors, giving **Gram matrix** of shape C x C

Efficient to compute; reshape features from

 $C \times H \times W$  to  $=C \times HW$ 

then compute  $G = FF^{T}$ 

Ignore the positions of features and get correlations among features.

#### Style Representation: Gram Matrix

The Gram Matrix takes the outer product of the feature vector with itself at each location and averaging that outer product over all locations.

```
def gram_matrix(input_tensor):
    result = tf.linalg.einsum('bijc,bijd->bcd', input_tensor, input_tensor)
    input_shape = tf.shape(input_tensor)
    num_locations = tf.cast(input_shape[1]*input_shape[2], tf.float32)
    return result/(num_locations)
```

#### **Tensorflow Implementation**

```
G_{cd}^{l} = \frac{\sum_{ij} F_{ijc}^{i}(x) F_{ijd}^{i}(x)}{IJ}
def gram_matrix(input):

a, b, c, d = input.size() # a=batch size(=1)

# b=number of feature maps

# (c,d)=dimensions of a f. map (N=c*d)

put_tensor, input_tensor)
features = input.view(a * b, c * d) # resize F_XL into \hat F_XL
```

G = torch.mm(features, features.t()) # compute the gram product

# we 'normalize' the values of the gram matrix
# by dividing by the number of element in each feature maps.
return G.div(a \* b \* c \* d)

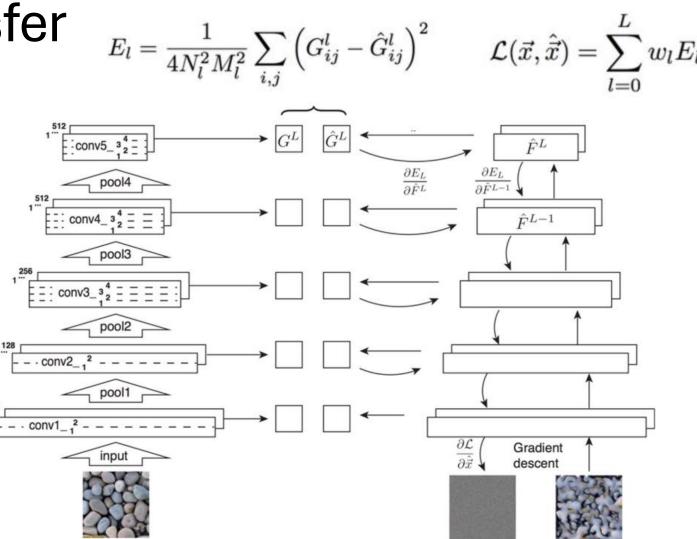
Pytorch Implementation

### Neural Style Transfer

- 1. Pretrain a CNN on ImageNet (VGG-19)
- Run input texture forward through CNN, record activations on every layer; layer i gives feature map of shape C<sub>i</sub> × H<sub>i</sub> × W<sub>i</sub>
- 3. At each layer compute the *Gram matrix* giving outer product of features:

$$G_{ij}^l = \sum_k F_{ik}^l F_{jk}^l$$
 (shape C<sub>i</sub> × C<sub>i</sub>)

- 4. Initialize generated image from random noise
- 5. Pass generated image through CNN, compute Gram matrix on each layer
- 6. Compute loss: weighted sum of L2 distance between Gram matrices
- 7. Backprop to get gradient on image
- 8. Make gradient step on image
- 9. GOTO 5



1 ....

## Learning Objective: MSE loss

return loss

#### **Tensorflow Implementation**

```
@tf.function()
def train_step(image):
    with tf.GradientTape() as tape:
        outputs = extractor(image)
        loss = style_content_loss(outputs)
```

```
grad = tape.gradient(loss, image)
opt.apply_gradients([(grad, image)])
image.assign(clip_0_1(image))
```

#### Pytorch Implementation

# We want to optimize the input and not the model parameters so we # update all the requires\_grad fields accordingly input\_img.requires\_grad\_(True) # We also put the model in evaluation mode, so that specific layers # such as dropout or batch normalization layers behave correctly. model.eval() model.requires\_grad\_(False) optimizer = get\_input\_optimizer(input\_img)

#### Neural Style Transfer



## References

- <u>https://cs231n.stanford.edu/slides/2024/lecture\_11.pdf</u>
- <u>https://www.tensorflow.org/tutorials/generative/style\_transfer</u>
- <u>https://pytorch.org/tutorials/advanced/neural\_style\_tutorial.html</u>
- <u>https://www.tensorflow.org/tutorials/generative/dcgan</u>
- <u>https://www.tensorflow.org/tutorials/generative/pix2pix</u>
- <u>https://www.tensorflow.org/tutorials/generative/cyclegan</u>